



1 FW  
600 SOUTH AVENUE WEST • WESTFIELD, NEW JERSEY 07090  
908.654.5000 • FAX 908.654.7866 • WWW.LDLKM.COM

PATENTS, TRADEMARKS, COPYRIGHTS & UNFAIR COMPETITION

December 12, 2006

Commissioner For Patents  
Office of Initial Patent Examination  
Customer Service Center  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Our File: REGIM 3.3-085  
Appln. No. 10/570,849  
Filing Date: March 6, 2006  
Group Art Unit: 1761

Dear Sir:

We are enclosing herewith copies of the filing receipt and Application Data Sheet for the above-identified patent application.

In the section entitled "*Assignment for Published Patent Application*," the second line should read --Universite Montpellier II, Montpellier, FRANCE-- (i.e., please delete "Place Eugene Batallion," and change "Montpeller" to read --Montpellier").

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

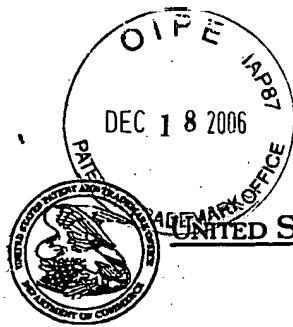
Sincerely yours,

LERNER, DAVID, LITTBENBERG,  
KRUMHOLZ & MENTLIK, LLP



ARNOLD H. KRUMHOLZ  
Reg. No. 25,428

AHK/ls  
Enclosures



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/570,849	03/06/2006	1761	4110	REGIM 3.3-085	6	32	11

CONFIRMATION NO. 7384

000530  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK  
600 SOUTH AVENUE WEST  
WESTFIELD, NJ 07090

## FILING RECEIPT



\*OC000000021422741\*

Date Mailed: 12/01/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jamal Tazi, Clapiers, FRANCE;  
Johann Soret, Teyran, FRANCE;  
Philippe Jeanteur, Montferrier, FRANCE;  
David Grierson, Versailles, FRANCE;

## Assignment For Published Patent Application

Centre National de la Recherche Scientifique (CNRS), Paris, FRANCE  
Universite Montpellier II Place Eugene Batallion, Montpellier, FRANCE  
Institut Curie, Paris, FRANCE

Power of Attorney: The patent practitioners associated with Customer Number 000530.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/FR04/02261 09/06/2004

LDLK &amp; M

## Foreign Applications

FRANCE 0310460 09/04/2003  
FRANCE 0400973 02/02/2004

DEC 04 2006

RECEIVED

If Required, Foreign Filing License Granted: 11/30/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/570,849**

**Projected Publication Date:** 03/08/2007

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Use of indole-derived compounds for the preparation of a medicament that can be used to treat diseases related to the splicing process

**Preliminary Class**

426

### **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### **LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## Application Data Sheet

### **Application Information**

Application Type::	Regular
Subject Matter::	Utility
Suggested Group Art Unit::	N/A
CD-ROM or CD-R?::	None
Sequence submission?::	None
Computer Readable Form (CRF)?::	No
Title::	USE OF INDOLE-DERIVED COMPOUNDS FOR THE PREPARATION OF A MEDICAMENT THAT CAN BE USED TO TREAT DISEASES RELATED TO THE SPLICING PROCESS
Attorney Docket Number::	REGIM 3.3-085
Request for Early Publication?::	No
Request for Non-Publication?::	No
Suggested Drawing Figure::	1
Total Drawing Sheets::	6
Small Entity?::	No
Petition included?::	No
Secrecy Order in Parent Appl.?::	No

### **Applicant Information**

Applicant Authority Type::	Inventor
Primary Citizenship Country::	France
Status::	Full Capacity
Given Name::	Jamal
Family Name::	Tazi
City of Residence::	Clapiers
Country of Residence::	France
Street of mailing address::	4, rue Condorcet

<b>City of mailing address::</b>	Clapiers
<b>Country of mailing address::</b>	France
<b>Postal or Zip Code of mailing address::</b>	F-34830
 <b>Applicant Authority Type::</b>	Inventor
<b>Primary Citizenship Country::</b>	France
<b>Status::</b>	Full Capacity
<b>Given Name::</b>	Johann
<b>Family Name::</b>	Soret
<b>City of Residence::</b>	Teyran
<b>Country of Residence::</b>	France
<b>Street of mailing address::</b>	5, chemin des Lauzières
<b>City of mailing address::</b>	Teyran
<b>Country of mailing address::</b>	France
<b>Postal or Zip Code of mailing address::</b>	F-34820
 <b>Applicant Authority Type::</b>	Inventor
<b>Primary Citizenship Country::</b>	France
<b>Status::</b>	Full Capacity
<b>Given Name::</b>	Philippe
<b>Family Name::</b>	Jeanteur
<b>City of Residence::</b>	Montferrier
<b>Country of Residence::</b>	France
<b>Street of mailing address::</b>	16, chemin du Rapatel
<b>City of mailing address::</b>	Montferrier
<b>Country of mailing address::</b>	France
<b>Postal or Zip Code of mailing address::</b>	F-34980
 <b>Applicant Authority Type::</b>	Inventor
<b>Primary Citizenship Country::</b>	Canada
<b>Status::</b>	Full Capacity
<b>Given Name::</b>	David

**Family Name::** Grierson  
**City of Residence::** Versailles  
**Country of Residence::** France  
**Street of mailing address::** 2, rue Gabriel  
**City of mailing address::** Versailles  
**Country of mailing address::** France  
**Postal or Zip Code of mailing address::** F-78000

#### **Correspondence Information**

**Correspondence Customer Number::** 000530

#### **Representative Information**

**Representative Customer Number::** 000530

#### **Domestic Priority Information**

<b>Application::</b>	<b>Continuity Type::</b>	<b>Parent Application::</b>	<b>Parent Filing Date::</b>
This Application	National Stage of	PCT/FR2004/002261	09/06/04

#### **Foreign Priority Information**

<b>Country::</b>	<b>Application number::</b>	<b>Filing Date::</b>	<b>Priority Claimed::</b>
France	0310460	09/04/03	Yes
France	0400973	02/02/04	Yes

#### **Assignee Information**

**Assignee name::** Centre National de la Recherche  
Scientifique (CNRS)  
**Street of mailing address::** 3, rue Michel-Ange  
**City of mailing address::** Paris  
**Country of mailing address::** France  
**Postal or Zip Code of mailing address::** F-75016

**Assignee name::** Universite Montpellier II  
**Street of mailing address::** Place Eugène Bataillon  
**City of mailing address::** Montpellier  
**Country of mailing address::** France  
**Postal or Zip Code of mailing address::** F-34095

**Assignee name::** Institut Curie  
**Street of mailing address::** 26, rue d'Ulm  
**City of mailing address::** Paris  
**Country of mailing address::** France  
**Postal or Zip Code of mailing address::** F-75005